



**94TH GENERAL ASSEMBLY**  
**State of Illinois**  
**2005 and 2006**  
**SB2992**

Introduced 1/20/2006, by Sen. Peter J. Roskam - Kirk W. Dillard  
- Cheryl Axley - Frank C. Watson

**SYNOPSIS AS INTRODUCED:**

|                   |                             |
|-------------------|-----------------------------|
| 5 ILCS 420/4A-102 | from Ch. 127, par. 604A-102 |
| 5 ILCS 420/4A-103 | from Ch. 127, par. 604A-103 |

Amends the Illinois Governmental Ethics Act. For persons filing statements of economic interests with the Secretary of State, requires the disclosure of entities (now, entities doing business with the State) from or in which the person derived certain income or had certain ownership interests.

LRB094 15945 JAM 51173 b

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**  
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended  
5 by changing Sections 4A-102 and 4A-103 as follows:

6 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

7 Sec. 4A-102. The statement of economic interests required  
8 by this Article shall include the economic interests of the  
9 person making the statement as provided in this Section. The  
10 interest (if constructively controlled by the person making the  
11 statement) of a spouse or any other party, shall be considered  
12 to be the same as the interest of the person making the  
13 statement. Campaign receipts shall not be included in this  
14 statement.

15 (a) The following interests shall be listed by all persons  
16 required to file:

17 (1) The name, address and type of practice of any  
18 professional organization or individual professional  
19 practice in which the person making the statement was an  
20 officer, director, associate, partner or proprietor, or  
21 served in any advisory capacity, from which income in  
22 excess of \$1200 was derived during the preceding calendar  
23 year;

24 (2) The nature of professional services (other than  
25 services rendered to the unit or units of government in  
26 relation to which the person is required to file) and the  
27 nature of the entity to which they were rendered if fees  
28 exceeding \$5,000 were received during the preceding  
29 calendar year from the entity for professional services  
30 rendered by the person making the statement.

31 (3) The identity (including the address or legal  
32 description of real estate) of any capital asset from which

1 a capital gain of \$5,000 or more was realized in the  
2 preceding calendar year.

3 (4) The name of any unit of government which has  
4 employed the person making the statement during the  
5 preceding calendar year other than the unit or units of  
6 government in relation to which the person is required to  
7 file.

8 (5) The name of any entity from which a gift or gifts,  
9 or honorarium or honoraria, valued singly or in the  
10 aggregate in excess of \$500, was received during the  
11 preceding calendar year.

12 (b) The following interests shall also be listed by persons  
13 listed in items (a) through (f) and item (l) of Section 4A-101:

14 (1) The name and instrument of ownership in any entity  
15 ~~doing business in the State of Illinois,~~ in which an  
16 ownership interest held by the person at the date of filing  
17 is in excess of \$5,000 fair market value or from which  
18 dividends of in excess of \$1,200 were derived during the  
19 preceding calendar year. (In the case of real estate,  
20 location thereof shall be listed by street address, or if  
21 none, then by legal description). No time or demand deposit  
22 in a financial institution, nor any debt instrument need be  
23 listed;

24 (2) Except for professional service entities, the name  
25 of any entity and any position held therein from which  
26 income of in excess of \$1,200 was derived during the  
27 preceding calendar year, ~~if the entity does business in the~~  
28 ~~State of Illinois.~~ No time or demand deposit in a financial  
29 institution, nor any debt instrument need be listed.

30 (3) The identity of any compensated lobbyist with whom  
31 the person making the statement maintains a close economic  
32 association, including the name of the lobbyist and  
33 specifying the legislative matter or matters which are the  
34 object of the lobbying activity, and describing the general  
35 type of economic activity of the client or principal on  
36 whose behalf that person is lobbying.

1 (c) The following interests shall also be listed by persons  
2 listed in items (g), (h), and (i) of Section 4A-101:

3 (1) The name and instrument of ownership in any entity  
4 doing business with a unit of local government in relation  
5 to which the person is required to file if the ownership  
6 interest of the person filing is greater than \$5,000 fair  
7 market value as of the date of filing or if dividends in  
8 excess of \$1,200 were received from the entity during the  
9 preceding calendar year. (In the case of real estate,  
10 location thereof shall be listed by street address, or if  
11 none, then by legal description). No time or demand deposit  
12 in a financial institution, nor any debt instrument need be  
13 listed.

14 (2) Except for professional service entities, the name  
15 of any entity and any position held therein from which  
16 income in excess of \$1,200 was derived during the preceding  
17 calendar year if the entity does business with a unit of  
18 local government in relation to which the person is  
19 required to file. No time or demand deposit in a financial  
20 institution, nor any debt instrument need be listed.

21 (3) The name of any entity and the nature of the  
22 governmental action requested by any entity which has  
23 applied to a unit of local government in relation to which  
24 the person must file for any license, franchise or permit  
25 for annexation, zoning or rezoning of real estate during  
26 the preceding calendar year if the ownership interest of  
27 the person filing is in excess of \$5,000 fair market value  
28 at the time of filing or if income or dividends in excess  
29 of \$1,200 were received by the person filing from the  
30 entity during the preceding calendar year.

31 (Source: P.A. 92-101, eff. 1-1-02; 93-617, eff. 12-9-03.)

32 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

33 Sec. 4A-103. The statement of economic interests required  
34 by this Article to be filed with the Secretary of State shall  
35 be filled in by typewriting or hand printing, shall be

1 verified, dated, and signed by the person making the statement  
2 and shall contain substantially the following:

3 STATEMENT OF ECONOMIC INTEREST

4 (TYPE OR HAND PRINT)

5 .....

6 (name)

7 .....

8 (each office or position of employment for which this statement  
9 is filed)

10 .....

11 (full post office address to which notification of an  
12 examination of this statement should be sent)

13 GENERAL DIRECTIONS:

14 The interest (if constructively controlled by the person  
15 making the statement) of a spouse or any other party, shall be  
16 considered to be the same as the interest of the person making  
17 the statement.

18 Campaign receipts shall not be included in this statement.

19 If additional space is needed, please attach supplemental  
20 listing.

21 1. List the name and instrument of ownership in any entity  
22 ~~doing business in the State of Illinois,~~ in which the ownership  
23 interest held by the person at the date of filing is in excess  
24 of \$5,000 fair market value or from which dividends in excess  
25 of \$1,200 were derived during the preceding calendar year. (In  
26 the case of real estate, location thereof shall be listed by  
27 street address, or if none, then by legal description.) No time  
28 or demand deposit in a financial institution, nor any debt  
29 instrument need be listed.

| 30 Business Entity | Instrument of Ownership |
|--------------------|-------------------------|
| 31 .....           | .....                   |
| 32 .....           | .....                   |
| 33 .....           | .....                   |
| 34 .....           | .....                   |

35 2. List the name, address and type of practice of any  
36 professional organization in which the person making the

1 statement was an officer, director, associate, partner or  
 2 proprietor or served in any advisory capacity, from which  
 3 income in excess of \$1,200 was derived during the preceding  
 4 calendar year.

| 5 | Name  | Address | Type of Practice |
|---|-------|---------|------------------|
| 6 | ..... | .....   | .....            |
| 7 | ..... | .....   | .....            |
| 8 | ..... | .....   | .....            |

9 3. List the nature of professional services rendered (other  
 10 than to the State of Illinois) to each entity from which income  
 11 exceeding \$5,000 was received for professional services  
 12 rendered during the preceding calendar year by the person  
 13 making the statement.

|    |       |
|----|-------|
| 14 | ..... |
| 15 | ..... |

16 4. List the identity (including the address or legal  
 17 description of real estate) of any capital asset from which a  
 18 capital gain of \$5,000 or more was realized during the  
 19 preceding calendar year.

|    |       |
|----|-------|
| 20 | ..... |
| 21 | ..... |

22 5. List the identity of any compensated lobbyist with whom  
 23 the person making the statement maintains a close economic  
 24 association, including the name of the lobbyist and specifying  
 25 the legislative matter or matters which are the object of the  
 26 lobbying activity, and describing the general type of economic  
 27 activity of the client or principal on whose behalf that person  
 28 is lobbying.

| 29 | Lobbyist | Legislative Matter | Client or Principal |
|----|----------|--------------------|---------------------|
| 30 | .....    | .....              | .....               |
| 31 | .....    | .....              | .....               |

32 6. List the name of any entity ~~doing business in the State~~  
 33 ~~of Illinois~~ from which income in excess of \$1,200 was derived  
 34 during the preceding calendar year other than for professional  
 35 services and the title or description of any position held in  
 36 that entity. (In the case of real estate, location thereof

1 shall be listed by street address, or if none, then by legal  
2 description). No time or demand deposit in a financial  
3 institution nor any debt instrument need be listed.

| 4 | Entity | Position Held |
|---|--------|---------------|
| 5 | .....  | .....         |
| 6 | .....  | .....         |
| 7 | .....  | .....         |

8 7. List the name of any unit of government which employed  
9 the person making the statement during the preceding calendar  
10 year other than the unit or units of government in relation to  
11 which the person is required to file.

12 .....  
13 .....

14 8. List the name of any entity from which a gift or gifts,  
15 or honorarium or honoraria, valued singly or in the aggregate  
16 in excess of \$500, was received during the preceding calendar  
17 year.

18 .....

19 VERIFICATION:

20 "I declare that this statement of economic interests  
21 (including any accompanying schedules and statements) has been  
22 examined by me and to the best of my knowledge and belief is a  
23 true, correct and complete statement of my economic interests  
24 as required by the Illinois Governmental Ethics Act. I  
25 understand that the penalty for willfully filing a false or  
26 incomplete statement shall be a fine not to exceed \$1,000 or  
27 imprisonment in a penal institution other than the penitentiary  
28 not to exceed one year, or both fine and imprisonment."

29 .....

30 (date of filing) (signature of person making the statement)

31 (Source: P.A. 92-101, eff. 1-1-02.)